

Please type a plus sign (+) inside this box → ☐


Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(To be used for all correspondence after initial filing)</i>	Application Number	09/726,261
	Filing Date	November 28, 2000
	First Named Inventor	D. David Nason
	Group Art Unit	2672
	Examiner Name	
	Attorney Docket No.	750037.401C3

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): _____ _____ _____ _____
Remarks		

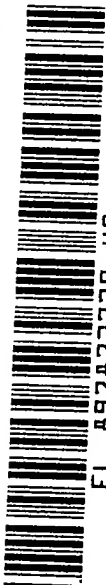
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Individual Name	Ellen M. Bierman	 00500 PATENT TRADEMARK OFFICE
Signature	<i>Ellen M. Bierman</i>	
Date	September 21, 2001	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.		
Typed or printed name		
Signature		Date:



UNITED STATES POSTAL SERVICE®

POST OFFICE TO ADDRESSEE



EL 897873779 US

Mailing Label
Label 11-F August 2000

F02
722

1772/ 20

ORIGIN (POSTAL USE ONLY)				DELIVERY (POSTAL USE ONLY)			
PO ZIP Code	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> Second			Delivery Attempt	Time	Employee Signature	
Date In	Postage			Mo.	<input type="checkbox"/> AM <input type="checkbox"/> PM		
Mo.	Day	Year	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Delivery Attempt	Time	Employee Signature	
Time In	Military			Mo.	<input type="checkbox"/> AM <input type="checkbox"/> PM		
Weight	<input type="checkbox"/> AM <input type="checkbox"/> PM	Int'l Alpha Country Code	Return Receipt Fee	Delivery Date	Time	Employee Signature	
No Delivery	Int'l Alpha Country Code	COD Fee	Insurance Fee	Mo.	<input type="checkbox"/> AM <input type="checkbox"/> PM		
<input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Total Postage & Fees		<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if waiver or signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article may be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.			
CUSTOMER USE ONLY METHOD OF PAYMENT: Express Mail Corporate Acct. No. X980232				NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday Customer Signature			
FROM: (PLEASE PRINT) Ellen M. Bierman SEED INTELLECTUAL PROPERTY LAW GROUP PLLC 701 5TH AVE STE 6300 SEATTLE WA 98104-7092				TO: (PLEASE PRINT) COMMISSIONER FOR PATENTS WASHINGTON DC 20231-0001			
PHONE () 206 , 622-4900				PHONE ()			
L EMB:mlo 750037.401C3 9/21/01							
PRESS HARD. You are making 3 copies.				www.usps.com			



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : D. David Nason et al.
Application No. : 09/726,261
Filed : November 28, 2000
For : METHOD AND SYSTEM FOR CONTROLLING A
COMPLEMENTARY USER INTERFACE ON A
DISPLAY SURFACE

Art Unit : 2672
Docket No. : 750037.401C3
Date : September 21, 2001

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the 64 references set forth on the attached Form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/666,032, filed September 20, 2000 and claims the benefit of Provisional Application Nos. 60/183,453, filed February 18, 2000, and 60/248,438, filed November 13, 2000. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

D. David Nason et al.

Seed Intellectual Property Law Group PLLC

Ellen M. Bierman

Ellen M. Bierman

Registration No. 38,079

EMB:mlo

Enclosures:

Postcard

Form PTO-1449

701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092

Phone: (206) 622-4900

Fax: (206) 682-6031

D:\NrPortbl\iManage\MICHELLEO\214503_1.DOC

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
750037.401C3APPLICATION NO.
09/726,261**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

APPLICANTS:

D. David Nason et al.

FILING DATE

November 28, 2000

GROUP ART UNIT

2672

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,476,464	10/09/84	Hobbs	340	731	
	AB	4,586,035	04/29/86	Baker et al.	340	712	
	AC	4,642,790	02/10/87	Minshull et al.	364	900	
	AD	4,649,499	03/10/87	Sutton et al.	364	518	
	AE	4,710,761	12/01/87	Kapur et al.	340	721	
	AF	4,868,765	09/19/89	Diefendorff	364	521	
	AG	4,972,264	11/20/90	Bishop et al.	358	183	
	AH	5,001,697	03/19/91	Torres	364	521	
	AI	5,060,170	10/22/91	Bourgeois et al.	364	521	
	AJ	5,072,412	12/10/91	Henderson, Jr., et al.	395	159	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK	0419765A1	04/03/91	EP		
	AL	0564174A2	10/06/93	EP		
	AM	0747805A1	12/11/96	EP		
	AN	WO 96/34467	10/31/96	PCT		
	AO	WO 99/27517	06/03/99	PCT		

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	Brunhoff, "Pleasing the Eye," <i>Unix Review</i> , 7(10):65-72, 1989.
	AQ	Cohen et al., "Constraint-Based Tiled Windows," <i>IEEE Computer Society Press</i> , pp. 35-45, 1986.
	AR	"Control Strip en Desktop Strip," <i>Apple World Magazine</i> , pp. 6132-6133, XP002152897, July-August 1995.

EXAMINER

16

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
750037.401C3APPLICATION NO.
09/726,261**INFORMATION DISCLOSURE STATEMENT***(Use several sheets if necessary)*

APPLICANTS:

D. David Nason et al.

FILING DATE

November 28, 2000

GROUP ART UNIT

2672

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,119,082	06/02/92	Lumelsky et al.	340	731	
	AB	5,146,556	09/08/92	Hullot et al.	395	159	
	AC	5,202,961	04/13/93	Mills et al.	395	159	
	AD	5,339,390	08/16/94	Robertson et al.	395	157	
	AE	5,371,871	12/06/94	Spilo	395	425	
	AF	5,394,521	02/28/95	Henderson, Jr. et al.	395	158	
	AG	5,418,572	05/23/95	Nonweiler et al.	348	446	
	AH	5,473,745	12/05/95	Berry et al.	395	157	
	AI	5,491,795	02/13/96	Beaudet et al.	395	159	
	AJ	5,513,342	04/30/96	Leong et al.	395	157	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK					
	AL					
	AM					
	AN					
	AO					

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AP	"Coordinating Multiple Graphical User Interfaces Video Access," <i>IBM Technical Disclosure Bulletin</i> , 39(5):7-9, XP000584036, May 1996.
	AQ	"Flexible Tool Bar," <i>IBM Technical Disclosure Bulletin</i> , 36(08):91, XP000390153, August 1993.
	AR	Gancarz, "Uwm: A User Interface for X Windows," <i>Summer Conference Proceedings</i> , USENIX Association, June 9-13, 1986, pp. 429-440.

EXAMINER

31

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
750037.401C3APPLICATION NO.
09/726,261**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

APPLICANTS:

D. David Nason et al.

FILING DATE

November 28, 2000

GROUP ART UNIT

2672

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,521,614	05/28/96	Kotha et al.	345	128	
	AB	5,561,471	10/01/96	Kim et al.	348	565	
	AC	5,586,244	12/17/96	Berry et al.	395	340	
	AD	5,621,428	04/15/97	King et al.	345	118	
	AE	5,621,904	04/15/97	Elliott et al.	395	342	
	AF	5,625,782	04/29/97	Soutome et al.	395	341	
	AG	5,675,755	10/07/97	Trueblood	395	346	
	AH	5,724,104	03/03/98	Eom	348	569	
	AI	5,742,797	04/21/98	Celi, Jr. et al.	395	507	
	AJ	5,745,109	04/28/98	Nakano et al.	345	340	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK					
	AL					
	AM					
	AN					
	AO					

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	"Internet Kiosk Touch Panel Shell," <i>IBM Technical Disclosure Bulletin</i> , 39(08):85-87, XP000638146, August 1996.
	AQ	Lantz and Rashid, "Virtual Terminal Management in a Multiple Process Environment," <i>Proceedings of the Seventh Symposium on Operating Systems Principles</i> , Association for Computing Machinery, December 10-12, 1979, pp. 86-97.
	AR	"Method and Apparatus for a Graphical Dial Interface," <i>IBM Technical Disclosure Bulletin</i> , 37(01):403, XP000428826, January 1994.

EXAMINER

44

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449
(REV.7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
750037.401C3APPLICATION NO.
09/726,261**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

APPLICANTS:

D. David Nason et al.

FILING DATE

November 28, 2000

GROUP ART UNIT

2672

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,757,386	05/26/98	Celi, Jr. et al.	345	507	
	AB	5,764,964	06/09/98	Dwin et al.	395	509	
	AC	5,771,042	06/23/98	Santos-Gomez	345	342	
	AD	5,818,416	10/06/98	Hwang	345	127	
	AE	5,831,592	11/03/98	Cahill, III	345	127	
	AF	5,838,296	11/17/98	Butler et al.	345	127	
	AG	5,864,347	01/26/99	Inoue	345	516	
	AH	5,874,937	02/23/99	Kesatoshi	345	127	
	AI	5,874,965	02/23/99	Takai et al.	345	357	
	AJ	5,940,077	08/17/99	Amro	345	342	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK					
	AL					
	AM					
	AN					
	AO					

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	Meyrowitz and Moser, "BRUWIN: An Adaptable Design Strategy for Window Manager/Virtual Terminal Systems," <i>Proceedings of the Eighth Symposium on Operating Systems Principles</i> , Association for Computing Machinery, December 14-16, 1981, pp. 180-189.
	AQ	"Single-Click Action Buttons," <i>IBM Technical Disclosure Bulletin</i> , 37(03):93, XP000441391, March 1994.
	AR	Stille et al., "A ² DL-An Adaptive Automatic Display Layout System," <i>Third Annual Symposium on Human Interaction with Complex Systems HICS '96</i> , IEEE Computer Society Press, pp. 243-250.

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449
(REV.7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
750037.401C3APPLICATION NO.
09/726,261**INFORMATION DISCLOSURE STATEMENT***(Use several sheets if necessary)*

APPLICANTS:

D. David Nason et al.

FILING DATE

November 28, 2000

GROUP ART UNIT

2672

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,018,332	01/25/00	Nason et al.	345	127	
	AB	6,025,841	02/15/00	Finkelstein et al.	345	342	
	AC	6,025,884	02/15/00	Choi	348	565	
	AD	6,094,230	07/25/00	Han	348	564	
	AE	6,172,669	01/09/01	Murphy et al.	345	199	
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK					
	AL					
	AM					
	AN					
	AO					

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AP	"Three-Dimensional Selection Widget," <i>IBM Technical Disclosure Bulletin</i> , 38(02):423, XP000502528, February 1995.
	AQ	Van Name et al., "Easing the RAM-Cram Blues," <i>Byte</i> , 15(3):227-228, 230, 232, 234, XP000652459, March 1990.
	AR	

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).